

## UNIT TEST REPORT UL 9540A

## Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems (AACD)

Total number of pages.....: 60

UL Report Office .....: UL LLC

Applicant's name.....: Samsung SDI

Address ...... 428-5 GONGSE-DONG GIHEUNG-GU

YONGIN-SI, GYEONGGI-DO 446-577 REPUBLIC OF KOREA

**Test specification:** 4<sup>th</sup> Edition, Section 9, November 12, 2019

Standard.....: UL 9540A, Test Method for Evaluating Thermal Runaway Fire

Propagation in Battery Energy Storage Systems

**Test procedure** ...... 9.1 – 9.8

Non-standard test method ....:

Copyright © 2020 UL LLC All Rights Reserved.

## General disclaimer:

The test results presented in this report relate only to the sample tested in the test configuration noted on the list of the attachments.

UL LLC did not select the sample(s), determine whether the sample(s) was representative of production samples, witness the production of the test sample(s), nor were we provided with information relative to the formulation or identification of component materials used in the test sample(s).

The issuance of this report in no way implies Listing, Classification or Recognition by UL and does not authorize the use of UL Listing, Classification or Recognition Marks or any other reference to UL on the product or system. UL LLC authorizes the above named company to reproduce this Report provided it is reproduced in its entirety. UL's name or marks cannot be used in any packaging, advertising, promotion or marketing relating to the data in this Report, without UL's prior written permission.

UL LLC, its employees, and its agents shall not be responsible to anyone for the use or non-use of the information contained in this Report, and shall not incur any obligation or liability for damages, including consequential damages, arising out of or in connection with the use of, or inability to use, the information contained in this Report.

| ell level information   |   |  |  |  |  |
|---|---|--|--|--|--|
| Cells in Module:  |   |  |  |  |  |
| Manufacturer Name   | Samsung SDI Co LTD                                    |  |  |  |  |
| Part Number   | CS1120RT001A  |  |  |  |  |
| ● Chemistry   | Lithium Nickel Manganese Cobalt<br>Oxide (LiNiMnCoO2) |  |  |  |  |
| ●Format   | Prismatic   |  |  |  |  |
| Ratings (Vdc, Ah):  | 3.63 V , 112Ah  |  |  |  |  |
| Cell certified?:  | Yes   |  |  |  |  |
| Standard the cell was certified to:   | UL 1642   |  |  |  |  |
| Organization that certified the cell:                                       | UL LLC  |  |  |  |  |
| Average cell surface temperature at gas venting, °C:                        | 150   |  |  |  |  |
| Average cell surface temperature at thermal runaway, °C:                    | 176   |  |  |  |  |
| Gas Volume: (I)   | 212   |  |  |  |  |
| Lower flammability level (LFL), % volume in air at the ambient temperature: | 9.21  |  |  |  |  |
| Lower flammability level (LFL), % volume in air at the venting temperature: | 7.63  |  |  |  |  |
| Burning velocity (S <sub>u</sub> ) cm/s:                                    | 49  |  |  |  |  |
| Maximum pressure (P <sub>max</sub> ) psig:                                  | 129   |  |  |  |  |
|   |   |  |  |  |  |

## Cell level Gas Composition:

| Ga              | s          | Measured % |
|-----------------|------------|------------|
| Carbon Monoxide | CO         | 28.382     |
| Carbon Dioxide  | CO2        | 30.241     |
| Hydrogen        | H2         | 24.70      |
| Methane         | CH4        | 6.175      |
| Ethylene        | C2H4       | 7.439      |
| Ethane          | C2H6       | 1.369      |
| Propylene       | C3H6       | 0.999      |
| Propane         | C3H8       | 0.120      |
| Propadiene      | C3H4       | 0.027      |
| -               | C4 (Total) | 0.523      |
| Iso-Pentane     | C5H12      | 0.003      |
| Pentane         | n-C5H12    | 0.007      |
| Hexane          | C6H14      | 0.012      |
| Total           | -          | 100        |

| Module level Information                |   |   |  |                        |  |  |
|---|---|---|--|------------------------|--|--|
| Model No                                | Model No::                                  |   |  | MS8943E101A            |  |  |
| Ratings (Vdc, Ah)                       | Ratings (Vdc, Ah)::                         |   | 112Ah, 87.12V                          |                        |  |  |
| Module dimensions (W x D x              | x <b>H</b> (mm) <b>)</b> :                  |   | 370 X 651.6 X 160                      |                        |  |  |
| Module cell configuration (x            | (S/ <b>y</b> P):                            |   | 22S 1P                                 |                        |  |  |
| Module weight (kgs)                     | :   |   | 56                                     |                        |  |  |
| Module enclosure material.              | :   |   | Metal case, Plastic Cover              |                        |  |  |
| Was the module certified?:              |   |   | Pending Project No. 4789894003         |                        |  |  |
| Standard the module was co              | ertified to:                                |   | UL1973                                 |                        |  |  |
| Organization that certified to          | est item:                                   |   | UL                                     |                        |  |  |
| Number of initiating cells fa           | iled to achieve propaga                     | ition.  | 1                                      |                        |  |  |
| Thermal Runaway Propagation:            |   | Thermal runaway propagations were observed                                    |  |                        |  |  |
| External Flaming:                       |   | External flaming was observed during the test                                 |  |                        |  |  |
| Location(s) of Flame Ventin             | g:  |   | Flame was ejected upward from cell(s)  |                        |  |  |
| Flying Debris:                          |   | Debris of electrode assemblies were observed on the floor of the testing room |  |                        |  |  |
| Re-ignitions:                           | Re-ignitions:                               |   | No re-ignition observed after the test |                        |  |  |
| Test Maximum Smoke Relea                | Test Maximum Smoke Release Rate (m²/s)      |   | 6                                      |                        |  |  |
| Test Total Smoke Released               | Test Total Smoke Released: (m²)             |   | 4315                                   |                        |  |  |
| Test Peak Chemical Heat Re              | Test Peak Chemical Heat Release Rate: (kW): |   | 560                                    |                        |  |  |
| Module level test Gas Comp              | oosition & Volume for E                     | ach Compoi  | und (Pre-flam                          | ing and After flame) : |  |  |
| Gas Compound                            | Gas Type                                    | Pre-Fla   | aming (L)                              | Flaming (L)            |  |  |
| Total Hydrocarbons (Propane Equivalent) | Hydrocarbons                                | 0   |  | 7                      |  |  |
| Carbon Monoxide                         | Carbon Containing                           | 0   |  | 18,834                 |  |  |
| Carbon Dioxide                          | Carbon Containing                           | 0   |  | 255                    |  |  |
| Hydrogen                                | Hydrogen                                    |   | 0                                      | 0                      |  |  |
| Jnit level Information                  |   |   |  |                        |  |  |
| Model No. :                             |   | PHR1433-001A  |  |                        |  |  |
| Ratings (Vdc, Ah)::                     |   | 1,277.76Vdc, 112Ah  |  |                        |  |  |
| BESS dimensions (W x D x H (mm)):       |   | 876 x 700.8 x 3134.6  |  |                        |  |  |
| BESS module configuration               |   | 16S 1P  |  |                        |  |  |
| Number of modules in BESS               |   | 16  |  |                        |  |  |
| Number of cells in module.:             |   | 22  |  |                        |  |  |
| BESS weight (kgs)::                     |   | 1073.0  |  |                        |  |  |

| BESS enclosure material::   | SGHC   |  |  |
|---|--|--|--|
| BESS Intended Installation:  Non Residential: outdoor ground mounted, indoor floor mounted, outdoor wall mounted, indoor wall mounted, roof top, open garage Residential: Outdoor ground mounted, indoor floor mounted, outdoor wall mounted, indoor wall mounted   | Non-residential indoor floor mounted   |  |  |
| Original Equipment Manufacturer (OEM):  | Samsung SDI  |  |  |
| Branding Manufacturer (if not OEM):   | Not applicable Pending Project No. 4789894003 UL1973   |  |  |
| Was the unit certified?:  |  |  |  |
| Standard the unit was certified to:   |  |  |  |
| Organization that certified the unit:   | UL   |  |  |
| ☐ Overcharge  |  |  |  |
| External short circuit ( <b>X</b> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A   | thin film heater with thermal ramp, :  |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  |  |  |  |
| External short circuit ( <i>X</i> Ω external resistance) Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit   |  |  |  |
| External short circuit ( <i>X</i> Ω external resistance) Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:   | nat serve to suppress propagation (f  1  No propagation observed   |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:   | nat serve to suppress propagation (f  1  No propagation observed  No external flaming observed   |  |  |
| External short circuit ( <i>X</i> Ω external resistance) Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation: External Flaming from BESS: Location(s) of Flame Venting:   | nat serve to suppress propagation (f  1  No propagation observed   |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:  Location(s) of Flame Venting:  Maximum Target BESS Temperature, °C   | nat serve to suppress propagation (f  1  No propagation observed  No external flaming observed  No external flaming observed  65           |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:  Location(s) of Flame Venting:  Maximum Target BESS Temperature, °C  Maximum Wall Surface Temperature <sup>1</sup> , °C   | 1 No propagation observed No external flaming observed No external flaming observed 65 38  |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:  Location(s) of Flame Venting:  Maximum Target BESS Temperature, °C  Maximum Wall Surface Temperature¹, °C  Peak Chemical Heat Release Rate, kW   | 1 No propagation observed No external flaming observed No external flaming observed 65 38 No flaming observed                              |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:  Location(s) of Flame Venting:  Maximum Target BESS Temperature, °C  Maximum Wall Surface Temperature <sup>1</sup> , °C   | 1 No propagation observed No external flaming observed No external flaming observed 65 38  |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:  Location(s) of Flame Venting:  Maximum Target BESS Temperature, °C  Maximum Wall Surface Temperature¹, °C  Peak Chemical Heat Release Rate, kW   | 1 No propagation observed No external flaming observed No external flaming observed 65 38 No flaming observed                              |  |  |
| External short circuit ( <i>X</i> Ω external resistance) Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation: External Flaming from BESS: Location(s) of Flame Venting: Maximum Target BESS Temperature, °C  Maximum Wall Surface Temperature <sup>1</sup> , °C  Peak Chemical Heat Release Rate, kW  Peak Convective Heat Release Rate, kW   | 1 No propagation observed No external flaming observed No external flaming observed 65 38 No flaming observed No flaming observed          |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:  Location(s) of Flame Venting:  Maximum Target BESS Temperature, °C  Maximum Wall Surface Temperature <sup>1</sup> , °C  Peak Chemical Heat Release Rate, kW  Peak Convective Heat Release Rate, kW  Maximum Smoke Heat Release Rate, m²/s                                | 1 No propagation observed No external flaming observed No external flaming observed 65 38 No flaming observed No flaming observed 2.0      |  |  |
| External short circuit ( <i>X</i> Ω external resistance)  Others  Description of method used to fail cells if other than external N/A  Description of components employed within the BESS unit the protection features): N/A  Number of initiating cell(s)  Thermal Runaway Propagation:  External Flaming from BESS:  Location(s) of Flame Venting:  Maximum Target BESS Temperature, °C  Maximum Wall Surface Temperature¹, °C  Peak Chemical Heat Release Rate, kW  Peak Convective Heat Release Rate, kW  Maximum Smoke Heat Release Rate, m²/s  Maximum Heat Flux on Target Modules, kW/m² | 1 No propagation observed No external flaming observed No external flaming observed 65 38 No flaming observed No flaming observed 2.0 6.96 |  |  |

 $<sup>^{\</sup>rm 1}$  Maximum wall surface temperature averaged on 60 seconds.

| ☐ Fourier-Transform infrared Spectrometer   |                           |                 |   |                |  |  |  |
|---|---------------------------|-----------------|---|----------------|--|--|--|
| ☐ Hydrogen Sensor (palladium-nickel, thin-film solid state sensor)  |                           |                 |   |                |  |  |  |
|   |                           |                 |   |                |  |  |  |
| Summary of Unit level test Gas Analysis Data:   |                           |                 |   |                |  |  |  |
| Unit level Gas Composition  | n & Volume for Each Com   | pound (Pre-fla  | ming and Afte   | r flame):      |  |  |  |
| Gas Compound  | Gas Type                  | Pre-Flam        | ing (L)   | Flaming (L)    |  |  |  |
| Total Hydrocarbons (Propane Equivalent)   | Hydrocarbons              | 18              |   | 0              |  |  |  |
| Carbon Dioxide  | Carbon Containing         | 0               |   | 0              |  |  |  |
| Carbon Monoxide   | Carbon Containing         | 2               |   | 0              |  |  |  |
| Hydrogen  | Hydrogen                  | 0               |   | 0              |  |  |  |
| Summary of BESS Unit Te   | st Results                |                 |   |                |  |  |  |
| Performance Criteria in ac  | cordance with Table 9.1 f | or Indoor Flooi | r Mounted non   |                |  |  |  |
| [X] Surface temperatures of modules within the target BESS units adjacent to the initiating BESS unit did not exceed the temperature at which thermally initiated cell venting occurs, as determined in 7.3.1.8;  [X] For BESS units intended for installation in locations with combustible constructions, surface temperature measurements on wall surfaces did not exceed 97°C (175°F) of temperature rise above ambient per 9.2.15;  [X] Explosion hazards were not observed, including deflagration, detonation or accumulation (to within the flammability limits in an amount that can cause a deflagration) of battery vent gases; and  [X] Heat flux in the center of the accessible means of egress did not exceed 1.3 kW/m².  Necessity for an Installation level test  [-] The performance criteria of the unit level test as indicated in Table 9.1 of UL 9540A 4th edition has not been met, therefore an installation level testing in accordance with UL 9540A will need to be conducted on the representative the installation with this unit installed.  [X] The performance criteria of the unit level tests as indicated in Table 9.1 of UL 9540A 4th edition has been met, |                           |                 |   |                |  |  |  |
| therefore an installation level testing in accordance with UL 9540A need not be conducted.  Testing Laboratory Information  |                           |                 |   |                |  |  |  |
|   |                           |                 |   |                |  |  |  |
| Testing Laboratory and tes  | sting location(s):        |                 |   |                |  |  |  |
| Testing Laboratory:   |                           |                 | UL LLC  |                |  |  |  |
| Testing location/ address .   | ::                        |                 | 333 Pfingsten Rd Northbrook Illinois<br>United States |                |  |  |  |
| Tested by (name, signature  | ə):                       |                 | Paul Obrochta   |                |  |  |  |
| Witnessed by (for 3 <sup>rd</sup> Party Lab Test Location)  |                           |                 | N/A   | N/A            |  |  |  |
| (name, signature)   |                           |                 |   |                |  |  |  |
| Project Handler (name, sig  | nature):                  |                 | Sean Yang   | Songhu         |  |  |  |
| Reviewer (name, signature   | ):                        |                 | Thomas<br>Skowera                                     | 7homas Skowera |  |  |  |